<span id="page-0-0"></span>New Hausdorff type dimensions and optimal bounds for bilipschitz invariant dimensions

Tamás Keleti (joint work with Richárd Balka)

Eötvös Loránd University, Budapest

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# My coauthor: Richárd Balka (Rényi Institute, Budapest)



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# Self-similar sets and similarity dimensions

We consider simple self-similar sets that are equal to the disjoint union of finitely many (say *k*) identical scaled (say by ratio *r*) copies of themselves. These are called homogeneous self-similar sets with the strong separation condition (SSC).

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The similarity dimension of such set is defined as the value *s* for which

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All the fractal dimensions of these sets agree with their similarity dimension.

Tamás Keleti **[Dimensions](#page-0-0) Dimensions Dimensions joint with Richárd Balka** 4/14

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- **•** bilipschitz invariance:

 $\dim A = \dim B$  if A and B are bilipschitz e[qui](#page-25-0)[va](#page-27-0)[le](#page-15-0)[nt](#page-26-0)[.](#page-27-0)

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The others can be modified to force this propery; in fact, this is a possible way to obtain packing dimension  $\dim_{\rm P}$  from the upper box  $dimension$  dim<sub>B</sub>.

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# The Problems

#### Problem (general)

*Suppose that a notion of dimension satisfies a given list of the above proprties. What can we say about the dimension?*

Less ambitous version: find optimal lower and upper bounds.



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Less ambitous version: find optimal lower and upper bounds.

Much more ambitous version:

Problem (Fraser)

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# Optimal bounds for "reasonable" dimensions

### Theorem (Balka-K 2023 Spring)

Let D be a function defined on the compact subsets of  $\mathbb{R}^n$ . If D is *monotone, Lipschitz stable and it agrees with the similarity dimension* for homogenous SSC self-similar sets then for any compact  $K \subset \mathbb{R}^n$ 

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\dim_{\mathrm{H}}(K) \leq D(K) \leq \overline{\dim}_{\mathrm{B}}(K).
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*Furthermore, if D is also* σ*-stable then*

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Note that the Assouad dimension  $\dim_A$  can be greater than but it is not Lipschitz stable, only bilipschitz invariant.



#### Theorem (Balka-K 2023 Spring, shortly stated again)

*If D is monotone, Lipschitz stable and it agrees with the similarity* dimension for the simplest self-similar sets then on compact sets of  $\mathbb{R}^n$ *we have* dim<sub>H</sub>  $\leq D \leq$  dim<sub>B</sub>.

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Answers: Not in general

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Answers: Not in general but yes for  $n = 1$ , and dim<sub>ML</sub> is the optimal lower bound for any *n*.

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#### <span id="page-44-0"></span>Theorem (Balka-K 2023 Autumn)

Let D be a function defined on the compact subsets of  $\mathbb{R}^n$ . If D is *monotone, bilipschitz invariant and it agrees with the similarity dimension for homogenous SSC self-similar sets then for any compact*  $K \subset \mathbb{R}^n$  we have

dim<sub>ML</sub> $(K) \leq D(K)$ .

*If*  $n = 1$  *then for any compact*  $K \subset \mathbb{R}^n$  *we also have* 

 $D(K)$  < dim<sub>A</sub> $(K)$ ,

*but this latter statement is false when n*  $> 1$ *.* 

Counter-example for  $D(K) \le \dim_A(K)$  when  $n > 1$ :

 $D(K) = \begin{cases} \dim_\text{A} K & \text{if } \text{K} \text{ is totally disconnected}, \end{cases}$ *n* otherwise.

Th[e](#page-43-0)n  $D(L) = n > 1 = \dim_A(L)$  for any closed li[ne](#page-43-0) [s](#page-45-0)e[gm](#page-44-0)[en](#page-0-0)[t.](#page-63-0)

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#### <span id="page-45-0"></span>Problem (Fraser)

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Theorem (Mattila-Mauldin 1997)  $\dim_{\mathrm{H}} : \mathcal{K}(\mathbb{R}^n) \to \mathbb{R}$  is a Borel function, but  $\dim_{\mathrm{P}} : \mathcal{K}(\mathbb{R}^n) \to \mathbb{R}$  is not.

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Question of Fraser:  $D : \mathcal{K}(\mathbb{R}^n) \to \mathbb{R}$  is Borel, monotone, Lipschitz stable,  $\sigma$ -stable and it agrees with the similarity dimension for the simplest self-similar sets  $\implies D = \dim_H ?$  $\mathbf{A} = \mathbf{A} + \mathbf$ 

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Recall that for any  $E \subset \mathbb{R}^n$ ,  $\dim_{\mathsf{H}}(E)=\inf\left\{s\geq 0\colon \forall \varepsilon>0\; \exists (E_{i})\; E\subset \cup E_{i}, \sum_{i=1}^{\infty}|E_{i}|^{s}<\varepsilon\right\}.$ 

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\dim_H^D(E) \stackrel{\text{def}}{=} \inf \left\{ s \geq 0 \colon \forall \varepsilon > 0 \; \exists (E_i) \; E \subset \cup E_i, \sum_{i=1}^{\infty} |E_i|^s < \varepsilon, |E_i| \in D \right\},
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where  $D \subset (0,\infty)$  is a given set of allowed diameters.

To make things nicer we require inf  $D = 0$  and also that for any  $x \in D$ there exists  $\delta > 0$  such that  $[x, x + \delta) \subset D$ .

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Definition (*D*-diameter restricted Hausdorff dimension)

$$
\dim^D_H(E) \stackrel{\text{def}}{=} \inf \left\{ s \geq 0 \colon \forall \varepsilon > 0 \; \exists (E_i) \; E \subset \cup E_i, \sum_{i=1}^{\infty} |E_i|^s < \varepsilon, |E_i| \in D \right\},
$$

where  $D \subset (0,\infty)$  is a given set of allowed diameters.

To make things nicer we require inf  $D = 0$  and also that for any  $x \in D$ there exists  $\delta > 0$  such that  $[x, x + \delta) \subset D$ .

#### Theorem (Balka-K 2024 Autumn)

*The above way we obtain continuum many distinct dimensions and each of them has all the properties we have listed so far.*

This gives a negative answer to Fraser's quest[io](#page-53-0)[n.](#page-55-0)

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<span id="page-55-0"></span>Banaji suggested that perhaps Fraser's question can be saved by requiring the following additional property:

Hölder stability: dim  $f(A) \leq \frac{1}{\alpha}$  dim *A* if  $\alpha \in (0,1]$  and *f* is  $\alpha$ -Hölder.

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#### Theorem (Siqi Wang 2024)

*Hausdorff dimension is not the only dimension that has all the properties we have mentioned so far.*

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**STATE** 

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#### $\leftarrow$  a fractal under the midnight sun

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