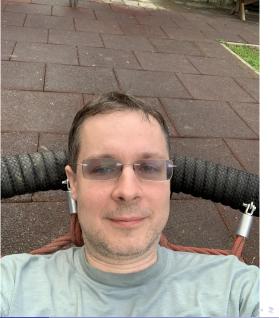
New Hausdorff type dimensions and optimal bounds for bilipschitz invariant dimensions

Tamás Keleti (joint work with Richárd Balka)

Eötvös Loránd University, Budapest

Geometry and Fractals under the Midnight Sun Oulu, June 25-28, 2024

My coauthor: Richard Balka (Rényi Institute, Budapest)



Tamás Keleti

The most classical/important fractal dimensions:

э

< 回 > < 回 > < 回 >

The most classical/important fractal dimensions:

• Hausdorff dimension dim_H,

э

A B F A B F

- **A**

The most classical/important fractal dimensions:

- Hausdorff dimension dim_H,
- Upper and lower box dimensions $\overline{\dim}_B$ and $\underline{\dim}_B$,

4 3 5 4 3 5

- **A**

The most classical/important fractal dimensions:

- Hausdorff dimension dim_H,
- Upper and lower box dimensions $\overline{\dim}_B$ and $\underline{\dim}_B$,
- packing dimension dim_P (Tricot 1982).

4 3 5 4 3 5

A 10

The most classical/important fractal dimensions:

- Hausdorff dimension dim_H,
- Upper and lower box dimensions $\overline{\dim}_B$ and $\underline{\dim}_B$,
- packing dimension dim_P (Tricot 1982).

4 3 5 4 3 5

A 10

The most classical/important fractal dimensions:

- Hausdorff dimension dim_H,
- Upper and lower box dimensions $\overline{\dim}_B$ and $\underline{\dim}_B$,
- packing dimension dim_P (Tricot 1982).

Further recently studied and introduced fractal dimensions:

nás	

The most classical/important fractal dimensions:

- Hausdorff dimension dim_H,
- Upper and lower box dimensions $\overline{\dim}_B$ and $\underline{\dim}_B$,
- packing dimension dim_P (Tricot 1982).

Further recently studied and introduced fractal dimensions:

• Assouad dimension dim_A (Bouligand 1928, Assouad 1977)

The most classical/important fractal dimensions:

- Hausdorff dimension dim_H,
- Upper and lower box dimensions $\overline{\dim}_B$ and $\underline{\dim}_B$,
- packing dimension dim_P (Tricot 1982).

Further recently studied and introduced fractal dimensions:

- Assouad dimension dim_A (Bouligand 1928, Assouad 1977)
- Lower dimension dim_L (Larman 1967),

A B K A B K

The most classical/important fractal dimensions:

- Hausdorff dimension dim_H,
- Upper and lower box dimensions $\overline{\dim}_B$ and $\underline{\dim}_B$,
- packing dimension dim_P (Tricot 1982).

Further recently studied and introduced fractal dimensions:

- Assouad dimension dim_A (Bouligand 1928, Assouad 1977)
- Lower dimension dim_L (Larman 1967),
- modified lower dimensions dim_{ML} (Fraser and Yu, 2018),

イベト イモト イモト

The most classical/important fractal dimensions:

- Hausdorff dimension dim_H,
- Upper and lower box dimensions $\overline{\dim}_B$ and $\underline{\dim}_B$,
- packing dimension dim_P (Tricot 1982).

Further recently studied and introduced fractal dimensions:

- Assouad dimension dim_A (Bouligand 1928, Assouad 1977)
- Lower dimension dim_L (Larman 1967),
- modified lower dimensions dim_{ML} (Fraser and Yu, 2018),
- Intermediate dimensions (Falconer, Fraser and Kempton, 2020),

・ 回 ト く ヨ ト く ヨ ト 二 ヨ

The most classical/important fractal dimensions:

- Hausdorff dimension dim_H,
- Upper and lower box dimensions $\overline{\dim}_B$ and $\underline{\dim}_B$,
- packing dimension dim_P (Tricot 1982).

Further recently studied and introduced fractal dimensions:

- Assouad dimension dim_A (Bouligand 1928, Assouad 1977)
- Lower dimension dim_L (Larman 1967),
- modified lower dimensions dim_{ML} (Fraser and Yu, 2018),
- Intermediate dimensions (Falconer, Fraser and Kempton, 2020),
- Generalised intermediate dimensions (Banaji, 2023).

・ 回 ト く ヨ ト く ヨ ト 二 ヨ

Self-similar sets and similarity dimensions

We consider simple self-similar sets that are equal to the disjoint union of finitely many (say k) identical scaled (say by ratio r) copies of themselves. These are called homogeneous self-similar sets with the strong separation condition (SSC).

< 回 > < 三 > < 三 >

Self-similar sets and similarity dimensions

We consider simple self-similar sets that are equal to the disjoint union of finitely many (say k) identical scaled (say by ratio r) copies of themselves. These are called homogeneous self-similar sets with the strong separation condition (SSC).



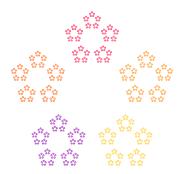
The similarity dimension of such set is defined as the value *s* for which

$$kr^s = 1.$$

In the left k = 5, r = 1/3. Thus the similarity dimension is $s = \log_3 5 \approx 1.465$.

Self-similar sets and similarity dimensions

We consider simple self-similar sets that are equal to the disjoint union of finitely many (say k) identical scaled (say by ratio r) copies of themselves. These are called homogeneous self-similar sets with the strong separation condition (SSC).



The similarity dimension of such set is defined as the value *s* for which

$$kr^s = 1.$$

In the left k = 5, r = 1/3. Thus the similarity dimension is $s = \log_3 5 \approx 1.465$.

All the fractal dimensions of these sets agree with their similarity dimension.

Tamás Keleti

Dimensions

Most of the previously mentioned fractal dimensions also have the following properties.

• monotonicity: $A \subset B \Longrightarrow \dim A \le \dim B$.

nás	

3 > 4 3

Most of the previously mentioned fractal dimensions also have the following properties.

• monotonicity: $A \subset B \Longrightarrow \dim A \le \dim B$.

nás	

3 > 4 3

Most of the previously mentioned fractal dimensions also have the following properties.

• monotonicity: $A \subset B \Longrightarrow \dim A \le \dim B$.

Exception: Lower dimension dim_L.

4 3 5 4 3 5

A 10

Most of the previously mentioned fractal dimensions also have the following properties.

• monotonicity: $A \subset B \Longrightarrow \dim A \le \dim B$.

Exception: Lower dimension dim_L.

In fact, the modified lower dimension \dim_{ML} is obtained by making lower dimension monotone.

くぼう くほう くほう

Most of the previously mentioned fractal dimensions also have the following properties.

• monotonicity: $A \subset B \Longrightarrow \dim A \le \dim B$.

Exception: Lower dimension dim_L.

In fact, the modified lower dimension \dim_{ML} is obtained by making lower dimension monotone.

• finite stability: $\dim(A \cup B) = \max(\dim A, \dim B)$.

く 戸 と く ヨ と く ヨ と …

Most of the previously mentioned fractal dimensions also have the following properties.

• monotonicity: $A \subset B \Longrightarrow \dim A \le \dim B$.

Exception: Lower dimension dim_L.

In fact, the modified lower dimension \dim_{ML} is obtained by making lower dimension monotone.

• finite stability: $\dim(A \cup B) = \max(\dim A, \dim B)$.

く 戸 と く ヨ と く ヨ と …

Most of the previously mentioned fractal dimensions also have the following properties.

• monotonicity: $A \subset B \Longrightarrow \dim A \le \dim B$.

Exception: Lower dimension dim_L.

In fact, the modified lower dimension \dim_{ML} is obtained by making lower dimension monotone.

• finite stability: $\dim(A \cup B) = \max(\dim A, \dim B)$.

Exceptions: Lower box dimension $\underline{\dim}_B$ and lower dimension \dim_L

・ 同 ト ・ ヨ ト ・ ヨ ト …

Most of the previously mentioned fractal dimensions also have the following properties.

• monotonicity: $A \subset B \Longrightarrow \dim A \le \dim B$.

Exception: Lower dimension dim_L.

In fact, the modified lower dimension \dim_{ML} is obtained by making lower dimension monotone.

- finite stability: dim(A ∪ B) = max(dim A, dim B).
 Exceptions: Lower box dimension dim_B and lower dimension dim_L
- Lipschitz stability: dim $f(A) \leq \dim A$ if f is Lipschitz

Most of the previously mentioned fractal dimensions also have the following properties.

• monotonicity: $A \subset B \Longrightarrow \dim A \le \dim B$.

Exception: Lower dimension dim_L.

In fact, the modified lower dimension \dim_{ML} is obtained by making lower dimension monotone.

- finite stability: dim(A ∪ B) = max(dim A, dim B).
 Exceptions: Lower box dimension dim_B and lower dimension dim_L
- Lipschitz stability: dim $f(A) \leq \dim A$ if f is Lipschitz

Most of the previously mentioned fractal dimensions also have the following properties.

• monotonicity: $A \subset B \Longrightarrow \dim A \le \dim B$.

Exception: Lower dimension dim_L.

In fact, the modified lower dimension \dim_{ML} is obtained by making lower dimension monotone.

- finite stability: dim(A ∪ B) = max(dim A, dim B).
 Exceptions: Lower box dimension dim_B and lower dimension dim_L
- Lipschitz stability: dim *f*(*A*) ≤ dim *A* if *f* is Lipschitz
 Exceptions: Assouad dimension dim_A, lower dimension dim_L and modified lower dimension dim_{ML}

Most of the previously mentioned fractal dimensions also have the following properties.

• monotonicity: $A \subset B \Longrightarrow \dim A \le \dim B$.

Exception: Lower dimension dim_L.

In fact, the modified lower dimension \dim_{ML} is obtained by making lower dimension monotone.

- finite stability: dim(A ∪ B) = max(dim A, dim B).
 Exceptions: Lower box dimension dim_B and lower dimension dim_L
- Lipschitz stability: dim *f*(*A*) ≤ dim *A* if *f* is Lipschitz
 Exceptions: Assouad dimension dim_A, lower dimension dim_L and modified lower dimension dim_{ML}
- bilipschitz invariance:

 $\dim A = \dim B$ if A and B are bilipschitz equivalent.

nás	

Some of the previously mentioned fractal dimensions also have the following property.

< 回 > < 三 > < 三 >

Some of the previously mentioned fractal dimensions also have the following property.

• σ -stability: dim $(\bigcup_{i=1}^{\infty} A_i) = \sup_i \dim A_i$

4 **A** N A **B** N A **B** N

Some of the previously mentioned fractal dimensions also have the following property.

• σ -stability: dim $(\bigcup_{i=1}^{\infty} A_i) = \sup_i \dim A_i$

4 **A** N A **B** N A **B** N

Some of the previously mentioned fractal dimensions also have the following property.

• σ -stability: dim $(\bigcup_{i=1}^{\infty} A_i) = \sup_i \dim A_i$

 $\sigma\text{-stable dimensions:}$ Hausdorff dimension \dim_{H} , packing dimension \dim_{P}

4 **A** N A **B** N A **B** N

Some of the previously mentioned fractal dimensions also have the following property.

• σ -stability: dim $(\bigcup_{i=1}^{\infty} A_i) = \sup_i \dim A_i$

 $\sigma\text{-stable}$ dimensions: Hausdorff dimension $\dim_{\text{H}},$ packing dimension \dim_{P}

The others can be modified to force this propery; in fact, this is a possible way to obtain packing dimension \dim_P from the upper box dimension $\overline{\dim}_B$.

The Problems

Problem (general)

Suppose that a notion of dimension satisfies a given list of the above proprties. What can we say about the dimension?

Less ambitous version: find optimal lower and upper bounds.

Tan		

4 3 5 4 3 5

The Problems

Problem (general)

Suppose that a notion of dimension satisfies a given list of the above proprties. What can we say about the dimension?

Less ambitous version: find optimal lower and upper bounds.

Tan		

4 3 5 4 3 5

The Problems

Problem (general)

Suppose that a notion of dimension satisfies a given list of the above proprties. What can we say about the dimension?

Less ambitous version: find optimal lower and upper bounds.

Much more ambitous version:

Problem (Fraser)

Find a list of natural poperties of dimensions that uniquely characterize the Hausdorff dimension.

< 回 > < 三 > < 三 >

Optimal bounds for "reasonable" dimensions

Theorem (Balka-K 2023 Spring)

Let D be a function defined on the compact subsets of \mathbb{R}^n . If D is monotone, Lipschitz stable and it agrees with the similarity dimension for homogenous SSC self-similar sets then for any compact $K \subset \mathbb{R}^n$

 $\dim_{\mathsf{H}}(K) \leq D(K) \leq \overline{\dim}_{\mathsf{B}}(K).$

Furthermore, if D is also σ -stable then

 $\dim_{\mathsf{H}}(K) \leq \mathcal{D}(K) \leq \dim_{\mathsf{P}}(K).$

nás	

Optimal bounds for "reasonable" dimensions

Theorem (Balka-K 2023 Spring)

Let D be a function defined on the compact subsets of \mathbb{R}^n . If D is monotone, Lipschitz stable and it agrees with the similarity dimension for homogenous SSC self-similar sets then for any compact $K \subset \mathbb{R}^n$

 $\dim_{\mathsf{H}}(K) \leq D(K) \leq \overline{\dim}_{\mathsf{B}}(K).$

Furthermore, if D is also σ -stable then

 $\dim_{\mathsf{H}}(K) \leq \mathcal{D}(K) \leq \dim_{\mathsf{P}}(K).$

nás	

Optimal bounds for "reasonable" dimensions

Theorem (Balka-K 2023 Spring)

Let D be a function defined on the compact subsets of \mathbb{R}^n . If D is monotone, Lipschitz stable and it agrees with the similarity dimension for homogenous SSC self-similar sets then for any compact $K \subset \mathbb{R}^n$

 $\dim_{\mathsf{H}}(K) \leq D(K) \leq \overline{\dim}_{\mathsf{B}}(K).$

Furthermore, if D is also σ -stable then

 $\dim_{\mathsf{H}}(K) \leq D(K) \leq \dim_{\mathsf{P}}(K).$

Note that the Assouad dimension \dim_A can be greater than but it is not Lipschitz stable, only bilipschitz invariant.

Tamás Keleti	Dimensions	joint	with Rich	árd Balk	а	8/14
	· · · · · · · · · · · · · · · · · · ·	4 🗆 🖌 🕨	1 E P .	3 E P	-	*) 4 (*

Theorem (Balka-K 2023 Spring, shortly stated again)

If D is monotone, Lipschitz stable and it agrees with the similarity dimension for the simplest self-similar sets then on compact sets of \mathbb{R}^n we have $\dim_H \leq D \leq \overline{\dim}_B$.

If D is also σ -stable then on compact sets of \mathbb{R}^n , dim_H $\leq D \leq$ dim_P.

Theorem (Balka-K 2023 Spring, shortly stated again)

If D is monotone, Lipschitz stable and it agrees with the similarity dimension for the simplest self-similar sets then on compact sets of \mathbb{R}^n we have $\dim_H \leq D \leq \overline{\dim}_B$.

If D is also σ -stable then on compact sets of \mathbb{R}^n , dim_H $\leq D \leq$ dim_P.

Theorem (Balka-K 2023 Spring, shortly stated again)

If D is monotone, Lipschitz stable and it agrees with the similarity dimension for the simplest self-similar sets then on compact sets of \mathbb{R}^n we have $\dim_H \leq D \leq \overline{\dim}_B$.

If D is also σ -stable then on compact sets of \mathbb{R}^n , dim_H $\leq D \leq \dim_P$.

Question (Rutar 2023 Summer)

What if we replace Lipschitz stable by bilipschitz invariant above? Do we get \dim_A as optimal upper bound?

Theorem (Balka-K 2023 Spring, shortly stated again)

If D is monotone, Lipschitz stable and it agrees with the similarity dimension for the simplest self-similar sets then on compact sets of \mathbb{R}^n we have $\dim_H \leq D \leq \overline{\dim}_B$.

If D is also σ -stable then on compact sets of \mathbb{R}^n , dim_H $\leq D \leq$ dim_P.

Question (Rutar 2023 Summer)

What if we replace Lipschitz stable by bilipschitz invariant above? Do we get \dim_A as optimal upper bound?

Answers: Not in general

A B K A B K

Theorem (Balka-K 2023 Spring, shortly stated again)

If D is monotone, Lipschitz stable and it agrees with the similarity dimension for the simplest self-similar sets then on compact sets of \mathbb{R}^n we have $\dim_H \leq D \leq \overline{\dim}_B$.

If D is also σ -stable then on compact sets of \mathbb{R}^n , dim_H $\leq D \leq \dim_P$.

Question (Rutar 2023 Summer)

What if we replace Lipschitz stable by bilipschitz invariant above? Do we get \dim_A as optimal upper bound?

Answers: Not in general but yes for n = 1,

< ロ > < 同 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ >

Theorem (Balka-K 2023 Spring, shortly stated again)

If D is monotone, Lipschitz stable and it agrees with the similarity dimension for the simplest self-similar sets then on compact sets of \mathbb{R}^n we have $\dim_H \leq D \leq \overline{\dim}_B$.

If D is also σ -stable then on compact sets of \mathbb{R}^n , dim_H $\leq D \leq \dim_P$.

Question (Rutar 2023 Summer)

What if we replace Lipschitz stable by bilipschitz invariant above? Do we get \dim_A as optimal upper bound?

Answers: Not in general but yes for n = 1, and dim_{ML} is the optimal lower bound for any *n*.

A B F A B F

Theorem (Balka-K 2023 Autumn)

Let D be a function defined on the compact subsets of \mathbb{R}^n . If D is monotone, bilipschitz invariant and it agrees with the similarity dimension for homogenous SSC self-similar sets then for any compact $K \subset \mathbb{R}^n$ we have

 $\dim_{\mathsf{ML}}(K) \leq D(K).$

If n = 1 then for any compact $K \subset \mathbb{R}^n$ we also have

 $D(K) \leq \dim_A(K),$

but this latter statement is false when n > 1.

Counter-example for $D(K) \leq \dim_A(K)$ when n > 1:

 $D(K) = \begin{cases} \dim_A K & \text{if K is totally disconnected,} \\ n & \text{otherwise.} \end{cases}$

Then $D(L) = n > 1 = \dim_A(L)$ for any closed line segment.

Problem (Fraser)

Find a list of natural poperties of dimensions that uniquely characterize the Hausdorff dimension.

Notation: $\mathcal{K}(\mathbb{R}^n) = \{ \text{compact subsets of } \mathbb{R}^n \}.$

Tamás Kelet	

Problem (Fraser)

Find a list of natural poperties of dimensions that uniquely characterize the Hausdorff dimension.

Notation: $\mathcal{K}(\mathbb{R}^n) = \{ \text{compact subsets of } \mathbb{R}^n \}.$

Tamás Kelet	

Problem (Fraser)

Find a list of natural poperties of dimensions that uniquely characterize the Hausdorff dimension.

Notation: $\mathcal{K}(\mathbb{R}^n) = \{ \text{compact subsets of } \mathbb{R}^n \}.$

Theorem (Balka-K 2023 Spring, stated again partly and shortly)

If $D : \mathcal{K}(\mathbb{R}^n) \to \mathbb{R}$ is monotone, Lipschitz stable, σ -stable and it agrees with the similarity dimension for the simplest self-similar sets then $\dim_{\mathsf{H}} \leq D \leq \dim_{\mathsf{P}}$.

A B K A B K

Problem (Fraser)

Find a list of natural poperties of dimensions that uniquely characterize the Hausdorff dimension.

Notation: $\mathcal{K}(\mathbb{R}^n) = \{ \text{compact subsets of } \mathbb{R}^n \}.$

Theorem (Balka-K 2023 Spring, stated again partly and shortly)

If $D : \mathcal{K}(\mathbb{R}^n) \to \mathbb{R}$ is monotone, Lipschitz stable, σ -stable and it agrees with the similarity dimension for the simplest self-similar sets then $\dim_{\mathsf{H}} \leq D \leq \dim_{\mathsf{P}}$.

Theorem (Mattila-Mauldin 1997)

 $\dim_{\mathsf{H}} : \mathcal{K}(\mathbb{R}^n) \to \mathbb{R}$ is a Borel function, but $\dim_{\mathsf{P}} : \mathcal{K}(\mathbb{R}^n) \to \mathbb{R}$ is not.

nás	

・ロト ・四ト ・ヨト ・ヨト

Problem (Fraser)

Find a list of natural poperties of dimensions that uniquely characterize the Hausdorff dimension.

Notation: $\mathcal{K}(\mathbb{R}^n) = \{ \text{compact subsets of } \mathbb{R}^n \}.$

Theorem (Balka-K 2023 Spring, stated again partly and shortly)

If $D : \mathcal{K}(\mathbb{R}^n) \to \mathbb{R}$ is monotone, Lipschitz stable, σ -stable and it agrees with the similarity dimension for the simplest self-similar sets then $\dim_{\mathsf{H}} \leq D \leq \dim_{\mathsf{P}}$.

Theorem (Mattila-Mauldin 1997)

 $\dim_{\mathsf{H}} : \mathcal{K}(\mathbb{R}^n) \to \mathbb{R}$ is a Borel function, but $\dim_{\mathsf{P}} : \mathcal{K}(\mathbb{R}^n) \to \mathbb{R}$ is not.

nás	

・ロト ・四ト ・ヨト ・ヨト

Problem (Fraser)

Find a list of natural poperties of dimensions that uniquely characterize the Hausdorff dimension.

Notation: $\mathcal{K}(\mathbb{R}^n) = \{ \text{compact subsets of } \mathbb{R}^n \}.$

Theorem (Balka-K 2023 Spring, stated again partly and shortly) If $D : \mathcal{K}(\mathbb{R}^n) \to \mathbb{R}$ is monotone, Lipschitz stable, σ -stable and it agrees with the similarity dimension for the simplest self-similar sets then

 $\dim_{\mathsf{H}} \leq D \leq \dim_{\mathsf{P}}.$

Theorem (Mattila-Mauldin 1997)

 $\dim_{\mathsf{H}} : \mathcal{K}(\mathbb{R}^n) \to \mathbb{R}$ is a Borel function, but $\dim_{\mathsf{P}} : \mathcal{K}(\mathbb{R}^n) \to \mathbb{R}$ is not.

Question of Fraser: $D : \mathcal{K}(\mathbb{R}^n) \to \mathbb{R}$ is Borel, monotone, Lipschitz stable, σ -stable and it agrees with the similarity dimension for the simplest self-similar sets $\Longrightarrow D = \dim_{\mathsf{H}}$?

Tamás Keleti

Recall that for any $E \subset \mathbb{R}^n$, dim_H(E) = inf { $s \ge 0$: $\forall \varepsilon > 0 \exists (E_i) E \subset \cup E_i, \sum_{i=1}^{\infty} |E_i|^s < \varepsilon$ }.

3

Recall that for any $E \subset \mathbb{R}^n$, dim_H(E) = inf { $s \ge 0$: $\forall \varepsilon > 0 \exists (E_i) E \subset \cup E_i, \sum_{i=1}^{\infty} |E_i|^s < \varepsilon$ }.

Definition (D-diameter restricted Hausdorff dimension)

$$\dim_{\mathsf{H}}^{\mathsf{D}}(E) \stackrel{\mathsf{def}}{=} \inf \left\{ s \geq 0 \colon \forall \varepsilon > 0 \; \exists (E_i) \; E \subset \cup E_i, \sum_{i=1}^{\infty} |E_i|^s < \varepsilon, |E_i| \in D \right\},\$$

where $D \subset (0, \infty)$ is a given set of allowed diameters.

To make things nicer we require $\inf D = 0$ and also that for any $x \in D$ there exists $\delta > 0$ such that $[x, x + \delta) \subset D$.

く 同 ト く ヨ ト く ヨ ト 一

Recall that for any $E \subset \mathbb{R}^n$, dim_H(E) = inf { $s \ge 0$: $\forall \varepsilon > 0 \exists (E_i) E \subset \cup E_i, \sum_{i=1}^{\infty} |E_i|^s < \varepsilon$ }.

Definition (D-diameter restricted Hausdorff dimension)

$$\dim_{\mathsf{H}}^{\mathsf{D}}(E) \stackrel{\mathsf{def}}{=} \inf \left\{ s \geq 0 \colon \forall \varepsilon > 0 \; \exists (E_i) \; E \subset \cup E_i, \sum_{i=1}^{\infty} |E_i|^s < \varepsilon, |E_i| \in D \right\},\$$

where $D \subset (0, \infty)$ is a given set of allowed diameters.

To make things nicer we require $\inf D = 0$ and also that for any $x \in D$ there exists $\delta > 0$ such that $[x, x + \delta) \subset D$.

く 同 ト く ヨ ト く ヨ ト 一

Recall that for any $E \subset \mathbb{R}^n$, dim_H(E) = inf { $s \ge 0$: $\forall \varepsilon > 0 \exists (E_i) E \subset \cup E_i, \sum_{i=1}^{\infty} |E_i|^s < \varepsilon$ }.

Definition (D-diameter restricted Hausdorff dimension)

$$\dim_{\mathsf{H}}^{\mathsf{D}}(E) \stackrel{\mathsf{def}}{=} \inf \left\{ s \geq 0 \colon \forall \varepsilon > 0 \; \exists (E_i) \; E \subset \cup E_i, \sum_{i=1}^{\infty} |E_i|^s < \varepsilon, |E_i| \in \mathbf{D} \right\},\$$

where $D \subset (0, \infty)$ is a given set of allowed diameters.

To make things nicer we require $\inf D = 0$ and also that for any $x \in D$ there exists $\delta > 0$ such that $[x, x + \delta) \subset D$.

Theorem (Balka-K 2024 Autumn)

The above way we obtain continuum many distinct dimensions and each of them has all the properties we have listed so far.

This gives a negative answer to Fraser's question.

Tamás Keleti

Banaji suggested that perhaps Fraser's question can be saved by requiring the following additional property:

Hölder stability: dim $f(A) \leq \frac{1}{\alpha} \dim A$ if $\alpha \in (0, 1]$ and f is α -Hölder.

A (10) A (10)

Banaji suggested that perhaps Fraser's question can be saved by requiring the following additional property:

Hölder stability: dim $f(A) \leq \frac{1}{\alpha} \dim A$ if $\alpha \in (0, 1]$ and f is α -Hölder.

Good news:

Theorem (Balka-K 2023 Autumn)

If dim_H^D is Hölder stable then dim_H^D = dim_H.

イベト イモト イモト

Banaji suggested that perhaps Fraser's question can be saved by requiring the following additional property:

Hölder stability: dim $f(A) \leq \frac{1}{\alpha} \dim A$ if $\alpha \in (0, 1]$ and f is α -Hölder.

Good news:

Theorem (Balka-K 2023 Autumn)

If dim_H^D is Hölder stable then dim_H^D = dim_H.

イベト イモト イモト

Banaji suggested that perhaps Fraser's question can be saved by requiring the following additional property:

Hölder stability: dim $f(A) \leq \frac{1}{\alpha} \dim A$ if $\alpha \in (0, 1]$ and f is α -Hölder.

Good news:

Theorem (Balka-K 2023 Autumn)

If \dim_{H}^{D} is Hölder stable then $\dim_{H}^{D} = \dim_{H}$.

So can Fraser's question be saved this way?

Banaji suggested that perhaps Fraser's question can be saved by requiring the following additional property:

Hölder stability: dim $f(A) \leq \frac{1}{\alpha} \dim A$ if $\alpha \in (0, 1]$ and f is α -Hölder.

Good news:

Theorem (Balka-K 2023 Autumn)

If dim_H^D is Hölder stable then dim_H^D = dim_H.

So can Fraser's question be saved this way? No.

Theorem (Siqi Wang 2024)

Hausdorff dimension is not the only dimension that has all the properties we have mentioned so far.

Banaji suggested that perhaps Fraser's question can be saved by requiring the following additional property:

Hölder stability: dim $f(A) \leq \frac{1}{\alpha} \dim A$ if $\alpha \in (0, 1]$ and f is α -Hölder.

Good news:

Theorem (Balka-K 2023 Autumn)

If dim_H^D is Hölder stable then dim_H^D = dim_H.

So can Fraser's question be saved this way? No.

Theorem (Siqi Wang 2024)

Hausdorff dimension is not the only dimension that has all the properties we have mentioned so far.

Banaji suggested that perhaps Fraser's question can be saved by requiring the following additional property:

Hölder stability: dim $f(A) \leq \frac{1}{\alpha} \dim A$ if $\alpha \in (0, 1]$ and f is α -Hölder.

Good news:

Theorem (Balka-K 2023 Autumn)

If \dim_{H}^{D} is Hölder stable then $\dim_{H}^{D} = \dim_{H}$.

So can Fraser's question be saved this way? No.

Theorem (Siqi Wang 2024)

Hausdorff dimension is not the only dimension that has all the properties we have mentioned so far.

Right now we do not know what property or properties we should add to get a promising conjecture to characterize Hausdorff dimension.

Banaji suggested that perhaps Fraser's question can be saved by requiring the following additional property:

Hölder stability: dim $f(A) \leq \frac{1}{\alpha} \dim A$ if $\alpha \in (0, 1]$ and f is α -Hölder.

Good news:

Theorem (Balka-K 2023 Autumn)

If \dim_{H}^{D} is Hölder stable then $\dim_{H}^{D} = \dim_{H}$.

So can Fraser's question be saved this way? No.

Theorem (Siqi Wang 2024)

Hausdorff dimension is not the only dimension that has all the properties we have mentioned so far.

Right now we do not know what property or properties we should add to get a promising conjecture to characterize Hausdorff dimension. Any idea?





$\leftarrow a \text{ fractal under} \\ \text{the midnight sun}$

(日) (四) (日) (日) (日)