Topological expansive Lorenz maps with a hole at the critical point

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1. Background

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Discrete dynamical system (X, f), where X = [0, 1] and f : X → X is continuous with positive topological entropy. Let H ⊆ X be a connected subinterval, called the hole. Survivor set:

$$S_f(H) = \{x \in X : f^n(x) \notin H \ \forall n \ge 0\} = X \setminus \bigcup_{n=0}^{\infty} f^{-n}(H).$$

• The survivor set $S_f(H)$ depends on (1) the map f, (2) the size of H and (3) the position of H.

• Urbański (1986): Let $f = T_2 = 2x \pmod{1}$ with a hole (0, t). Then $\eta_2 : t \mapsto \dim_{\mathcal{H}} S_2(t)$

is a devil's staircase, that is, η_2 is decreasing, and η_2 is constant Lebesgue almost everywhere.

• Kalle, Kong, Langeveld & Li (2020): Let $f = T_{\beta}(x) = \beta x \pmod{1}$ with a hole (0, t), where $\beta \in (1, 2]$ be fixed. The dimension function

 $\eta_{\beta}: t \mapsto \dim_{\mathcal{H}} S_{\beta}(t)$

is a devil's staircase.

• Langeveld & Samuel (2023): Let $f = T_{\beta,\alpha}(x) = \beta x + \alpha \pmod{1}$ with a hole (0, t), where $\beta \in (1, 2]$ and $\alpha \in (0, 2 - \beta)$ be fixed. Then

$$\eta_{eta,lpha}$$
: $t\mapsto \dim_{\mathcal{H}} \mathcal{S}_{eta,lpha}(t)$

is a devil's staircase.



Figure: Left: $\eta_{\beta} : t \mapsto \dim_{\mathcal{H}} S_{\beta}(t)$ where β is tribonacci number. Right: $\eta_{\beta,\alpha} : t \mapsto \dim_{\mathcal{H}} S_{\beta,\alpha}(t)$ where β is golden mean and $\alpha = 1 - \beta/2$. A Lorenz map on X = [0, 1] is an interval map $f : X \to X$ with a critical point $c \in (0, 1)$ such that (i) f is strictly increasing on [0, c) and on (c, 1]; (ii) $\lim_{x\uparrow c} f(x) = 1$, $\lim_{x\downarrow c} f(x) = 0$. f is called expansive Lorenz map if additionally the preimage set $C(f) = \bigcup_{n\geq 0} f^{-n}(c)$ of c is dense in X.

Questions:

- **(**) Can we extend the results from $T_{\beta,\alpha}$ to expansive Lorenz maps?
- If we consider the hole near c, is there any relationship between the holes (0, t) and (a, b) ∋ c? Can we still have the devil's staircase?

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2. Main results

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Denote *ELM* as the set of expansive Lorenz maps. Let $S_f(a, b) := \{x \in [0, 1] : f^n(x) \notin (a, b), \forall n \ge 0\}$, and $S_f^+(a, b) := \{x \in [0, 1] : f(b) \le f^n(x) \le f(a) \ \forall n \ge 0\}.$

Proposition (S.-Li-Ding, 2024)

• $S_f(a,b) \setminus S_f^+(a,b)$ is countable and

$$h_{top}(f|S_f(a,b)) = h_{top}(f|S_f^+(a,b)).$$

② Let f ∈ ELM with a hole (a, b). Then the case a = c is equivalent to the hole (0, f(b)).

Theorem 1 (S.-Li-Ding, 2024)

Let $f \in ELM$ with a hole (a, b). If $\tau_f(b+)$ is periodic, then there exists a maximal plateau I(b) such that for all $b' \in I(b)$, $S_f^+(a, b') = S_f^+(a, b)$. The endpoints of I(b) are also characterized.

Theorem 2 (S.-Li-Ding, 2024)

Let $f \in ELM$ with ergodic a.c.i.m.. Then the entropy function

$$\lambda_f(a): b \mapsto h_{top}(f|S_f(a,b))$$

is a devil's staircase, where a is fixed.

Corollary (S.-Li-Ding, 2024)

Let $f = T_{\beta,\alpha}$ with a hole (a, b) where $a \in [0, \frac{1-\alpha}{\beta}]$ is fixed. Then the dimension function $\eta_f(a) : b \mapsto \dim_{\mathcal{H}}(S_f(a, b))$ is a devil's staircase.

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Question: If we consider the case $(a, b) \subsetneq (0, c)$, can we still obtain the devil's staircase with *a* being fixed? The problem is that the tools from Lorenz shifts cannot be used directly.

References:

Urbánski M. On Hausdorff dimension of invariant sets for expanding maps of a circle *Ergod. Th. & Dynam. Sys.* 6 295-309 (1986)
Kalle C, Kong D, Langeveld N and Li W. The β-transformation with a hole at 0 *Ergod. Th. & Dynam. Sys.* 40 2482-2514 (2020)
Langeveld N and Samuel T, Intermediate β-shifts as greedy β-shifts with a hole *Acta Math. Hungar.* 170 269-301 (2023)
Sun Y, Li B and Ding Y. Topological Expansive Lorenz Maps with a Hole at Critical Point *J. Stat. Phys.* 191 50 (2024)

Question: If we consider the case $(a, b) \subsetneq (0, c)$, can we still obtain the devil's staircase with a being fixed? The problem is that the tools from Lorenz shifts cannot be used directly.

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Thanks for your attention!